

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 20334.1

First Inventor Jesse Taylor

Title System and Method for Security and File Retrieval From Remote Computer

Express Mail Label No. EV 319088078 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check for \$1,003.00; Postcard.....
CD-Rom..Transmittal..Letter

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



Customer Number:

OR ☒ Correspondence address below

Name ARNALL GOLDEN GREGORY LLP

Address 1201 West Peachtree Street

City Atlanta

State GA

Zip Code

30309

Country USA

Telephone

(404) 873-8734

Fax

(404)873-8735

Name (Print/Type) Li K. Wang

Registration No. (Attorney/Agent) 44,393

Signature

Date

3/15/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,003.00

Complete if Known

| | |
|----------------------|--------------|
| Application Number | Unassigned |
| Filing Date | 3/15/04 |
| First Named Inventor | Jesse Taylor |
| Examiner Name | Unassigned |
| Art Unit | Unassigned |
| Attorney Docket No. | 20334.1 |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 502666
Deposit Account Name:

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|------------------------|---------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | 385.00 |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) 385.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | | Extra Claims | | Fee from below | | Fee Paid |
|---------------------------|----|--------------|----|----------------|----|----------|
| | | | | | | |
| Independent Claims | 60 | -20** = | 40 | x | 9 | = 360.00 |
| Multiple Dependent Claims | 9 | -3** = | 6 | x | 43 | = 258.00 |
| | | | | | 0 | = 0 |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid | |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$) 618.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|----------------------------------------------------------------------------|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

| | | | | | |
|-------------------|------------|-----------------------------------|---------|-----------|---------------|
| Name (Print/Type) | Li K. Wang | Registration No. (Attorney/Agent) | 44,393 | Telephone | (404)873-8734 |
| Signature | | Date | 3/15/04 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| | | | |
|-----------------------------------------------------------------------|------------------------|------------------------------------------------------------------------|--------------|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | | Jesse Taylor |
| | Title | System and Method for Security and File Retrieval From Remote Computer | |
| | Attorney Docket Number | 20334.1 | |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

03/15/04
Date


Signature

770-781-8906
Telephone number

Jesse Taylor

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1438, Alexandria, VA 22313-1438. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0100 (1-800-786-0100) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to:

Express Mail NO. EV 319088078 US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on 3/ 15/2004
Date



Signature

Lucille Golden-Blakey

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: **Jesse Taylor**

Application Serial No.: [Not yet Assigned]

Filed:

For: **System and Method for Security and File Retrieval From Remote Computer**

Attorney Docket No.: **20334.1**

CD-ROM TRANSMITTAL LETTER

Two identical copies of a CD-ROM Appendix, including the source code for an exemplary commercial embodiment of the System and Method for Security and File Retrieval From Remote Computer, submitted concurrently with the above-referenced patent application accordance with 37 CFR § 1.52. The codes are developed using Delphi™ from Borland Software Corporation. The source codes are in files with “.pas” extension and can be viewed by an ASCII reader such as Notepad or Wordpad for Microsoft. The compiled code will run on a MS-DOS or Windows operating system on a PC-based hardware platform.

The Appendix comprises a single CD-ROM that includes 68 files that were created on date listed, and of the size and name as follows:

| <u>Date of Creation</u> | <u>File Size(KB)</u> | <u>File Name</u> |
|-------------------------|----------------------|---------------------------------------------|
| 1/19/2004 | 1,400 | \backwat Compiled\ bwadminFINAL.exe |
| 1/19/04 | 2,703 | \backwat Compiled\ Install.zip (Compressed) |
| 1/19/04 | 1,308 | \backwat Compiled\ StartupRunFINAL.exe |
| 1/17/04 | 885 | \backwat Compiled\ StatusCheckFINAL.exe |
| 12/16/03 | 1 | \backwat Administration\ bwadmin.dpr |
| 1/19/04 | 1 | \backwat Administration\ bwadmin.cfg |
| 1/19/04 | 3 | \backwat Administration\ bwadmin.dof |
| 12/16/03 | 1 | \backwat Administration\ bwadmin.dpr |
| 1/19/04 | 1,400 | \backwat Administration\ bwadmin.exe |
| 12/16/03 | 3 | \backwat Administration\ bwadmin.res |
| 8/18/02 | 3 | \backwat Administration\ key-bk.ico |
| 1/15/04 | 1 | \backwat Administration\ Unit1.ddp |
| 1/15/04 | 209 | \backwat Administration\ Unit1.dfm |
| 1/15/04 | 14 | \backwat Administration\ Unit1.pas |
| 1/18/04 | 22 | \backwat Administration\ Unit1.dcu |
| 1/19/04 | 1 | \backwat Administration\ Unit1.ddp |
| 1/18/04 | 209 | \backwat Administration\ Unit1.dfm |
| 1/18/04 | 14 | \backwatch Administration\ Unit1.pas |
| 1/15/04 | 29 | \backwatch Images\ gensec465x257eO.jpg |
| 1/19/04 | 32 | \backwatch Images\ gensec573x213.jpg |
| 1/17/04 | 19 | \backwatch Images\ gensec581x85.jpg |

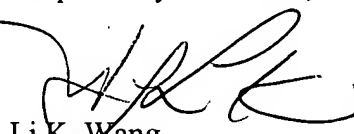
Attorney Docket No. 20334.1

| <u>Date of Creation</u> | <u>File Size(KB)</u> | <u>Folder/File Name</u> |
|-------------------------|----------------------|--------------------------------------------|
| 1/17/04 | 19 | \backwatch Images\ gensec581x85Gr.jpg |
| 1/15/04 | 26 | \backwatch Images\ logo_473+73.jpg |
| 1/15/04 | 25 | \backwatch Images\ logo_473+73_option2.jpg |
| 1/15/04 | 61 | \backwatch Images\ logo_573+213.jpg |
| 1/15/04 | 62 | \backwatch Images\ logo_573+213whitebg.jpg |
| 10/16/02 | 5 | \backwatch Install\ 01setup1-ico |
| 8/20/02 | 166 | \backwatch Install\ brcc32.exe |
| 12/16/03 | 5,179 | \backwatch Install\ bwInstall\ .exe |
| 1/19/04 | 1 | \backwatch Install\ Install\ .rc |
| 1/19/04 | 3,593 | \backwatch Install\ Install\ .RES |
| 1/19/04 | 5,227 | \backwatch Install\ Install\FINAL.exe |
| 12/15/03 | 1 | \backwatch Install\ Project1.dpr |
| 1/20/04 | 1 | \backwatch Install\ Project1.cfg |
| 1/20/04 | 3 | \backwatch Install\ Project1.dof |
| 12/15/03 | 1 | \backwatch Install\ Project1.dpr |
| 1/20/04 | 5,228 | \backwatch Install\ Project1.exe |
| 12/16/03 | 5 | \backwatch Install\ Project1.res |
| 1/19/04 | 1,308 | \backwatch Install\ StartupRunFINAL.exe |
| 1/17/04 | 885 | \backwatch Install\ StatusCheckFINAL.exe |
| 1/17/04 | 1 | \backwatch Install\ Unit1.ddp |
| 1/17/04 | 437 | \backwatch Install\ Unit1.dfm |
| 1/17/04 | 17 | \backwatch Install\ Unit1.pas |
| 1/20/04 | 23 | \backwatch Install\ Unit1.dcu |
| 1/20/04 | 1 | \backwatch Install\ Unit1.ddp |
| 12/15/03 | 21 | \backwatch Server Side\ Registration.doc |
| 12/17/04 | 1 | \backwatch Server Side\ Registration.php |
| 12/15/03 | 20 | \backwatch Server Side\ Statuscheck.doc |
| 12/26/03 | 1 | \backwatch Server Side\ Statuscheck.php |
| 12/15/03 | 21 | \backwatch Server Side\ Update.doc |
| 1/18/04 | 1 | \backwatch Server Side\ Update.php |
| 8/20/02 | 166 | \backwatch Startup\ brcc32.exe |
| 12/15/03 | 1 | \backwatch Startup\ StartupRun.dpr |
| 1/19/04 | 1 | \backwatch Startup\ StartupRun.cfg |
| 1/19/04 | 3 | \backwatch Startup\ StartupRun.dof |
| 12/15/03 | 1 | \backwatch Startup\ StartupRun.dpr |
| 1/19/04 | 1,308 | \backwatch Startup\ StartupRun.exe |
| 1/19/04 | 3 | \backwatch Startup\ StartupRun.res |
| 1/19/04 | 885 | \backwatch Startup\ stat.res |
| 1/19/04 | 1 | \backwatch Startup\ StatusCheck.rc |
| 1/17/04 | 885 | \backwatch Startup\ StatusCheckFINAL.exe |
| 1/19/04 | 1 | \backwatch Startup\ Unit1.ddp |
| 1/19/04 | 2 | \backwatch Startup\ Unit1.dfm |
| 12/16/04 | 4 | \backwatch Startup\ Unit1.pas |

| <u>Date of Creation</u> | <u>File Size(KB)</u> | <u>Folder/File Name</u> |
|-------------------------|----------------------|----------------------------------------------|
| 1/19/04 | 8 | \backwatch Startup\ Unit1.dcu |
| 1/19/04 | 1 | \backwatch Startup\ Unit1.ddp |
| 1/19/04 | 2 | \backwatch Startup\ Unit1.dfm |
| 1/19/04 | 4 | \backwatch Startup\ Unit1.pas |
| 12/15/03 | 1 | \backwatch Status Check\Project1.dpr |
| 1/22/04 | 1 | \backwatch Status Check\Project1.cfg |
| 1/22/04 | 3 | \backwatch Status Check\Project1.dof |
| 1/17/04 | 1 | \backwatch Status Check\Project1.dpr |
| 12/27/03 | 38 | \backwatch Status Check\Project1.drc |
| 12/11/03 | 2 | \backwatch Status Check\Project1.mes |
| 12/26/03 | 3 | \backwatch Status Check\Project1.res |
| 1/17/04 | 885 | \backwatch Status Check\StatusCheckFINAL.exe |
| 1/17/04 | 1 | \backwatch Status Check\Unit1.ddp |
| 1/17/04 | 7 | \backwatch Status Check\Unit1.dfm |
| 1/17/04 | 9 | \backwatch Status Check\Unit1.pas |
| 1/17/04 | 15 | \backwatch Status Check\Unit1.dcu |
| 1/22/04 | 1 | \backwatch Status Check\Unit1.ddp |
| 1/20/04 | 7 | \backwatch Status Check\Unit1.dfm |
| 1/20/04 | 9 | \backwatch Status Check\Unit1.pas |

Entry of the CD ROM Appendix into the file of the above patent application is requested, and examination of the above application at an early date is solicited.

Respectfully submitted,



Li K. Wang
Reg. No. 44,393

Dated: 3/12/04

Arnall Golden Gregory LLP
Attorneys for Applicant
1201 West Peachtree Street
Suite 2800
Atlanta, GA 30309-3450
Telephone: (404) 873-8734
Facsimile: (404) 873-8735

Attorney Docket No. 20334.1